



Sheet 1 of 3

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

826.1940

APPLICATION NO.

10/809,841

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

FIRST NAMED INVENTOR

Fumio FUTAMI et al.

FILING DATE

March 26, 2004

GROUP ART UNIT

2828

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
KJR	AA	5,912,911	06/15/99	Usami et al.			
KJR	AB	2002/0041618	04/11/02	Watanabe et al.			
KJR	AC	6,081,355	06/27/00	Sharma et al.			
KJR	AD	5,923,683	07/13/99	Morioka et al.			
KJR	AE	5,471,490	11/28/95	Liedenbaum et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	TRANSLATION YES NO	ABSTRACT
KJR	AF	2001-264830	09/26/02	Japan	—	X
KJR	AG	2002-236301	08/23/02	Japan	—	X
KJR	AH	6-3711	01/14/94	Japan	—	X
KJR	AI	6-43514	02/18/94	Japan	—	X
KJR	AJ	9-326528	12/16/97	Japan	X	—
KJR	AK	9-318832	12/12/97	Japan	—	X

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

TRANSLATION YES NO

KJR	AL	Hiroyuki TSUDA et al., "Second-and Third-Order Dispersion Compensator Using a High-Resolution Arrayed-Waveguide Grating", IEEE Photonics Technology Letter, vol. 11, No. 5, May 1999, pp. 569-571	—	—
KJR	AM	A. M. WEINER et al., "Programmable Femtosecond Pulse Shaping by Use of a Multilelement Liquid-Crystal Phase Modulator", Optics Letters, vol. 15, No. 6, March 15, 1990, pp. 326-328	—	—

EXAMINER

DATE CONSIDERED

0-10-2004

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	BA	~~~~~	~~~~~	~~~~~	~~~~~	~~~~~	~~~~~
	BB	~~~~~	~~~~~	~~~~~	~~~~~	~~~~~	~~~~~

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES	NO
KAR	BC	2003-29645	11/30/00	Japan	~~~~~	~~~~~	Abstract	_____
KAR	BD	10-223959	08/21/98	Japan	~~~~~	~~~~~	Abstract	_____
KAR	BE	5-257176	10/08/93	Japan	~~~~~	~~~~~	Abstract	_____
KAR	BF	6-291398	10/18/94	Japan	~~~~~	~~~~~	Abstract	_____
KAR	BG	9-236834	09/09/97	Japan	~~~~~	~~~~~	Abstract	_____
KAR	BH	2001-60734	03/06/01	Japan	~~~~~	~~~~~	Abstract	_____
KAR	BI	2001-249367	09/14/01	Japan	~~~~~	~~~~~	Abstract	_____
KAR	BJ	2002-250946	09/06/02	Japan	~~~~~	~~~~~	Abstract	_____
KAR	BK	6-11750	01/21/94	Japan	~~~~~	~~~~~	_____	X

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

KAR	BM	Hiroaki SANJOH et al., "Multiwavelength Light Source with Precise Frequency Spacing Using a Mode-Locked Semiconductor Laser and an Arrayed Waveguide Grating Fiber", IEEE Photonics Technology Letters, Vol. 9, No. 6, June 1997, pp. 818-820
	BO	~~~~~
BP		~~~~~
BQ		~~~~~

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	CA						
	CB						
	CC						
	CD						
	CE						
	CF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES	NO
XK	CG	8-122833	05/17/96	Japan			Abstract	—
XK	CH	8-234250	09/13/96	Japan			Abstract	—
XK	CI	8-234249	09/13/96	Japan				X
XK	CJ	9-244076	09/19/97	Japan				X
XK	CK	2002-77052	03/15/02	Japan				X
	CL							

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

CM	
CN	
CO	
CP	

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DATE CONSIDERED

2-10-2006

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KAC	AA	2002/15212	02/07/2002	Fujiwara et al.			
KAC	AB	4,655,547	04/07/1987	Heritage et al.			
	AC						
	AD						
	AE						
	AF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	TRANSLATION YES NO	ABSTRACT
KAC	AG	1 241 513	09/18/2002	Europe	X	
	AH					
	AI					
	AJ					
	AK					
	AL					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

TRANSLATION YES NO

AM		
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EXAMINER <i>Karen Rogers</i>	DATE CONSIDERED <i>2-10-2006</i>
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